Board of Advisors

Our Board of Advisors is comprised of experts in banking, asset and wealth management, software security, corporate strategy, and law, helping us further our mission of bringing greater efficiency to financial markets to improve outcomes for our clients, advisors, and banking partners.

Professor Jan Rivkin

Jan W. Rivkin is the C. Roland Christensen Professor of Business Administration in the Strategy Unit at Harvard Business School. In the past, he has served as Faculty Chair of the MBA Program, Senior Associate Dean for Research, and head of the Strategy Unit. His research, course development, and teaching focus on two topics: business strategy and U.S. competitiveness.

Professor Rivkin received his Ph.D. in Business Economics from Harvard. Earlier, he studied chemical engineering and public policy at Princeton and obtained a M.Sc. in economics from the London School of Economics on a Marshall Scholarship. Prior to pursuing his doctorate, Professor Rivkin led case teams and managed client relationships at Monitor Company, a strategy consulting firm.

Dr. Gary McGraw

Gary McGraw is co-founder of the Berryville Institute of Machine Learning where his work focuses on machine learning security. He is a globally recognized authority on software security and the author of eight best-selling books on this topic. His titles include Software Security, Exploiting Software, Building Secure Software, Java Security, Exploiting Online Games, and 6 other books; and he is editor of the Addison-Wesley Software Security series. Dr. McGraw has also written over 100 peer-reviewed scientific publications.

Dr. McGraw serves on the Advisory Boards of Legit, Irius Risk, Max, Red Sift and Vaultree. He has also served as a Board member of Cigital and Codiscope (acquired by Synopsys) and as Advisor to CodeDX (acquired by Synopsys), Black Duck (acquired by Synopsys), Dasient (acquired by Twitter), Fortify Software (acquired by HP), and Invotas (acquired by FireEye). Dr. McGraw produced the monthly Silver Bullet Security Podcast for IEEE Security & Privacy magazine for thirteen years. His dual PhD is in Cognitive Science and Computer Science from Indiana University, where he serves on the Dean's Advisory Council for the Luddy School of Informatics, Computing, and Engineering.

Jill Denham

Jill Denham is the President and Founder of Authentum Partners Ltd., which invests in and advises financial technology startups and growth companies. Previously, Ms. Denham spent more than 20 years as an executive at CIBC in Canada and Europe. She began her career as an investment banker and subsequently ran the private equity business of CIBC. She also ran several other lines of business within CIBC, including the Retail Bank, where she was responsible for more than 20,000 employees generating more than $1 billion in profits. She retired as Vice Chair of CIBC in 2005.

Ms. Denham has been a board member of the Ontario Teachers' Pension Plan, Kinaxis (a world leader in supply chain software), Markit, National Bank, CP Rail, Lifeworks, Munich Re Canada, Canaccord Genuity, and Exiger (a global governance, risk, and compliance business).

Ms. Denham holds an Honours Business Administration (HBA) degree from the Ivey Business School, Western University, and an MBA from Harvard Business School.

Mike Capelle

Mike Capelle has been building and scaling advisor-client wealth management platforms for 20 years, and is currently the co-founder and co-CEO of Modern Wealth Management. Prior to Modern, Mr. Capelle was Managing Director at Goldman Sachs Personal Financial Management, where he was head of High Net Worth Product. His tenure at Goldman Sachs followed the acquisition of United Capital, a $25 billion RIA with 90 locations, which he co-founded and helped scale. At United Capital, he served as Chief Platform Officer, overseeing the company's operating platform, technology, and enterprise innovation. Mr. Capelle began his career at Intel, where he spent 10 years honing his skills in technology and management.

Mr. Capelle holds a master's degree in management from the MIT Sloan School of Management and a master's degree in electrical engineering from MIT. Additionally, he earned his undergraduate degree in computer engineering

degree in electrical engineering from MIT. Additionally, he earned his undergraduate degree in computer engineering from the University of Illinois at Urbana-Champaign.

Andrew Klaber

Andrew Klaber is the Founder and CEO of investment management firm Bedford Ridge Capital. Previously, Mr. Klaber served as a Partner at Paulson & Co, where he led or co-led investments in technology, media, and telecom; consumer and retail; and gaming and leisure sectors.

Mr. Klaber received a B.A. from Yale College (summa cum laude and Phi Beta Kappa president), two M.Sc. degrees from the University of Oxford (Marshall Scholar), and a J.D./MBA from Harvard Law School and Harvard Business School (Dean's Award Winner). Mr. Klaber is a member of the Council on Foreign Relations and serves on the board of the International Rescue Committee.

Andrew Hecht

Andrew (Andy) Hecht is an experienced corporate lawyer and entrepreneur and is the Managing Partner of Strix Law PC. Prior to founding Strix in 2013, Andy was a corporate and securities lawyer at Simpson Thacher & Bartlett LLP, a leading international law firm, based in the firm's offices in New York, Tokyo and Palo Alto. Andy has worked on countless corporate transactions including mergers and acquisitions, leveraged buy-outs, public and private financings, securities offerings, minority investments and joint ventures.

Previously, Mr. Hecht worked on the development of an early-AI controlled aquaculture farm, helped design and build the enterprise servers that became the initial backbone of the online bill payment industry, and developed speech recognition-based trader workstations to enable stock traders to engage in high-speed hands-free trading. He also co-founded one of the first crowdfunding platforms to launch after the passage of the JOBS Act.

Mr. Hecht holds a B.A in Asian Languages & Civilizations from Amherst College, a J.D. from the Duke University School of Law and a M.E.M. in Environmental & Resource Economics from Duke University – Nicholas School of the Environment.

Gary Zimmerman

Gary Zimmerman is the Managing Partner of Six Trees Capital LLC and Founder of Max. Previously, Mr. Zimmerman was an investment banker at Citigroup, where he was a Managing Director and Global Head of Strategic Solutions for Sovereign Wealth Funds, responsible for advising these funds on their direct investment activities globally. In that role, he spent considerable time in Europe, the Middle East, and Asia advising funds with more than $5 trillion of assets under management.

Earlier, Mr. Zimmerman led Citigroup's cross-border M&A business in Japan, advising multinational corporations, financial institutions and private equity funds on their strategic ambitions overseas. Prior to joining Citigroup, Mr. Zimmerman worked as an investment banker at Merrill Lynch & Co. and SG Barr Devlin, a division of Societe Generale, both in New York.

Mr. Zimmerman graduated magna cum laude from Harvard University with a degree in Economics, and subsequently completed an executive education program at the Wharton School of The University of Pennsylvania.

Advisory Board Members Emeriti

Tom Bradley

Tom Bradley serves as Senior Vice President, Advisor Services, at Charles Schwab & Co., Inc., where he oversees the Core segment of Schwab's advisor business that provides custodial support services customized to independent Registered Investment Advisors with up to $100 million in assets under management (AUM). Prior to joining Schwab, Tom was President of TD Ameritrade's Retail and Institutional Broker/Dealer until 2017, capping a 31-year career with the firm.

As President of Retail, Mr. Bradley led the team that oversaw 120 branch offices, customer service and sales centers, operational middle offices, products and product development, strategy, digital platforms, and all company marketing. During his tenure, retail client assets approximately doubled, client satisfaction scores increased, financial investment consultant productivity soared and advice platforms grew by approximately 350%.

Prior to Retail, Mr. Bradley headed the team that oversaw the company's Institutional business, which caters to independent investment advisors. Under his leadership, the business made significant improvements in the

technology platform, including expansion of its Veo advisor platform; the acquisition and integration of iRebal, an industry-leading portfolio rebalancing system; and the launch of Veo Open Access, which positioned the company as the first of the custodians to allow third-party technology companies to integrate its systems with Veo.

Over his tenure, he built a reputation for his advocacy efforts, particularly with respect to issues impacting RIAs, and won multiple industry awards. He received a B.S. in business administration, with a concentration in finance, from the University of Richmond, Robins School of Business, and completed Executive Education courses at The University of Pennsylvania's Wharton School of Business. He holds Series 4, 7, 8, 24, 53, 63 and 65 securities licenses.

Harriet Pearson

Harriet Pearson is a partner in the Washington, D.C. office of law firm Hogan Lovells US LLP, where her practice focuses on privacy and cybersecurity. Dubbed by one legal publication as the "First Lady of Privacy," Ms. Pearson is one of the first and longest-serving chief privacy officers in the Fortune 500 and an internationally-recognized data privacy and security pioneer. Previously, Ms. Pearson served as Vice President, Security Counsel and Chief Privacy Officer for the IBM Corporation.

Ms. Pearson co-founded and helps lead the Coalition on Privacy and Free Trade, and also leads a multi-industry Working Group on Privacy and Cybersecurity. She also currently co-chairs the Georgetown University Cybersecurity Law Institute and serves on the ABA President's Task Force on Cybersecurity and on the advisory boards of the Electronic Privacy Information Center and the Future of Privacy Forum.

Ms. Pearson advises clients on matters involving Congress, the Federal Trade Commission, the Commerce Department, and other federal and state bodies. Frequently invited to speak to business and academic groups, she recently finished a four-year appointment as an adjunct lecturer at Georgetown University, teaching a graduate seminar on Security, Privacy, and Trust. Ms. Pearson graduated with honors from the UCLA School of Law (Order of the Coif) and Princeton University, where she majored in civil engineering.

© Six Trees Capital LLC, 2013 – 2025. U.S. Patent Nos. 10,268,995, 10,510,082, 10,657,504, 11,315,090, 11,531,972, and other patents pending. Max is not a bank and is not affiliated with any bank or other financial institution. The use of third-party logos does not constitute an endorsement of Max by any such third party. All trademarks are the property of their respective owners.